10/574573 IAP9 Rec'dPCT/PTO 04 APR 2006

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77896

Tomoyuki MAEDA, et al.

Appln. No.: Based on PCT/JP2004/015007

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: April 04, 2006 Examiner: Unknown

For: PERPENDICULAR MAGNETIC RECORDING MEDIUM, MANUFACTURING

METHOD THEREFOR, AND MAGNETIC READ/WRITE APPARATUS USING THE

**SAME** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: Based on PCT/JP2004/015007

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority. Additionally, U.S. Patent Application Publication Nos. 2003/215675, 2002/172842, and 2003/170500 correspond to JP-A-2002-208129, JP-A-2002-260209, and JP-A-2003-123245, respectively. Also, English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2002-334424 and JP-A-2002-329305.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 25,430

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Date: April 4, 2006

MODIFIED PTO/SB/08 A & B (06-03)

Substitute for Form 1449 A & B/PTO

Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Application Number Based on PCT/JP2004/015007

Confirmation Number Unknown

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First Named Inventor Tomoyuki MAEDA

Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number Q77896

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number				
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
_/KB/		US 2003/0215675	Al	11-20-2003	N. Inaba, et al.	
/KB/ _		US 2002/0172842	Al	11-21-2002	M. Honda, et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
/KB/		JР	9-204651	A	08-05-1997	TOKYO SHIBAURA ELECTRIC CO.	
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8		JР	2002-334424	Α	11-22-2002	SHOWA DENKO K.K.	Abstract
/KB/		JР	2002-329305	Α	11-15-2002	SHOWA DENKO K.K.	Abstract

		NON PATENT LITERATURE DOCUMENTS	572005590005/S0055300659
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the mem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (symposium, city, and/or country where published.	Translation <sup>6</sup>
		200000000000000000000000000000000000000	
205/20055/9006/20056/9005	200000000000000000000000000000000000000		

Examiner Signature	/Kevin Bernatz/	Date Considered 04/27/2009

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.